

1 SENATE BILL NO. 214  
2 INTRODUCED BY E. MCCLAFFERTY, D. HAWK, S. WEBBER, M. FOX, J. GROSS, W. CURDY, S. O'BRIEN,  
3 E. BOLDMAN, C. POPE, J. ELLIS, P. FLOWERS, R. LYNCH, D. HAYMAN, M. DUNWELL, S. MORIGEAU, D.  
4 SALOMON, R. TEMPEL, M. LANG, J. LYNCH, D. HARVEY, T. VERMEIRE

5  
6 A BILL FOR AN ACT ENTITLED: "AN ACT ENACTING THE AUDIOLOGY AND SPEECH-LANGUAGE  
7 PATHOLOGY INTERSTATE COMPACT; PROVIDING FOR CRIMINAL BACKGROUND CHECKS OF  
8 APPLICANTS; AND AMENDING SECTIONS 37-15-202 AND 37-15-314, MCA."

9  
10 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MONTANA:

11  
12 NEW SECTION. **Section 1. Enactment -- provisions.** The Audiology and Speech-Language  
13 Pathology Interstate Compact is enacted into law and entered into with all other jurisdictions joining in the  
14 compact in the form substantially as follows:

15 SECTION 1

16 PURPOSE

17 The purpose of this compact is to facilitate interstate practice of audiology and speech-language  
18 pathology with the goal of improving public access to audiology and speech-language pathology services. The  
19 practice of audiology and speech-language pathology occurs in the state where the patient/client/student is  
20 located at the time of the patient/client/student encounter. The compact preserves the regulatory authority of  
21 states to protect public health and safety through the current system of state licensure.

22 This compact is designed to achieve the following objectives:

- 23 (1) increase public access to audiology and speech-language pathology services by providing for  
24 the mutual recognition of other member state licenses;
- 25 (2) enhance the states' ability to protect the public's health and safety;
- 26 (3) encourage the cooperation of member states in regulating multistate audiology and speech-  
27 language pathology practice;

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

- 1 (4) support spouses of relocating active-duty military personnel;
- 2 (5) enhance the exchange of licensure, investigative, and disciplinary information between
- 3 member states;
- 4 (6) allow a remote state to hold a provider of services with a compact privilege in that state
- 5 accountable to that state's practice standards; and
- 6 (7) allow for the use of telehealth technology to facilitate increased access to audiology and
- 7 speech-language pathology services.

8 SECTION 2

9 DEFINITIONS

10 As used in this compact, and except as otherwise provided, the following definitions apply:

- 11 (1) "Active-duty military" means full-time duty status in the active uniformed service of the United
- 12 States, including members of the national guard and reserve on active duty orders pursuant to Title 10, chapter
- 13 1209 and 1211, of the United States Code.
- 14 (2) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a
- 15 state's laws which is imposed by a licensing board or other authority against an audiologist or speech-language
- 16 pathologist, including actions against an individual's license or privilege to practice, such as revocation,
- 17 suspension, probation, monitoring of the licensee, or restriction on the licensee's practice.
- 18 (3) "Alternative program" means a nondisciplinary monitoring process approved by an audiology or
- 19 speech-language pathology licensing board to address impaired practitioners.
- 20 (4) "Audiologist" means an individual who is licensed by a state to practice audiology.
- 21 (5) "Audiology" means the care and services provided by a licensed audiologist as set forth in the
- 22 member state's statutes and rules.
- 23 (6) "Audiology and speech-language pathology compact commission" or "commission" means the
- 24 national administrative body whose membership consists of all states that have enacted the compact.
- 25 (7) "Audiology and speech-language pathology licensing board", "audiology licensing board",
- 26 "speech-language pathology licensing board", or "licensing board" means the agency of a state that is
- 27 responsible for the licensing and regulation of audiologists and/or speech-language pathologists.

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 (8) "Compact privilege" means the authorization granted by a remote state to allow a licensee from  
2 another member state to practice as an audiologist or speech-language pathologist in the remote state under its  
3 laws and rules. The practice of audiology or speech-language pathology occurs in the member state where the  
4 patient/client/student is located at the time of the patient/client/student encounter.

5 (9) "Current significant investigative information" means investigative information that a licensing  
6 board, after an inquiry or investigation that includes notification and an opportunity for the audiologist or  
7 speech-language pathologist to respond, if required by state law, has reason to believe is not groundless and, if  
8 proved true, would indicate more than a minor infraction.

9 (10) "Data system" means a repository of information about licensees, including but not limited to  
10 continuing education, examination, licensure, investigative, compact privilege, and adverse action.

11 (11) "Encumbered license" means a license in which an adverse action restricts the practice of  
12 audiology or speech-language pathology by the licensee and said adverse action has been reported to the  
13 national practitioner data bank (NPDB).

14 (12) "Executive committee" means a group of directors elected or appointed to act on behalf of, and  
15 within the powers granted to them by, the commission.

16 (13) "Home state" means the member state that is the licensee's primary state of residence.

17 (14) "Impaired practitioner" means individuals whose professional practice is adversely affected by  
18 substance abuse, addiction, or other health-related conditions.

19 (15) "Licensee" means an individual who currently holds an authorization from the state licensing  
20 board to practice as an audiologist or speech-language pathologist.

21 (16) "Member state" means a state that has enacted the compact.

22 (17) "Privilege to practice" means a legal authorization permitting the practice of audiology or  
23 speech-language pathology in a remote state.

24 (18) "Remote state" means a member state other than the home state where a licensee is  
25 exercising or seeking to exercise the compact privilege.

26 (19) "Rule" means a regulation, principle, or directive promulgated by the commission that has the  
27 force of law.

1 (20) "Single-state license" means an audiology or speech-language pathology license issued by a  
2 member state that authorizes practice only within the issuing state and does not include a privilege to practice  
3 in any other member state.

4 (21) "Speech-language pathologist" means an individual who is licensed by a state to practice  
5 speech-language pathology.

6 (22) "Speech-language pathology" means the care and services provided by a licensed speech-  
7 language pathologist as set forth in the member state's statutes and rules.

8 (23) "State" means any state, commonwealth, district, or territory of the United States of America  
9 that regulates the practice of audiology and speech-language pathology.

10 (24) "State practice laws" means a member state's laws, rules, and regulations that govern the  
11 practice of audiology or speech-language pathology, define the scope of audiology or speech-language  
12 pathology practice, and create the methods and grounds for imposing discipline.

13 (25) "Telehealth" means the application of telecommunication technology to deliver audiology or  
14 speech-language pathology services at a distance for assessment, intervention, and/or consultation.

15 SECTION 3

16 STATE PARTICIPATION IN THE COMPACT

17 (1) A license issued to an audiologist or speech-language pathologist by a home state to a  
18 resident in that state must be recognized by each member state as authorizing an audiologist or speech-  
19 language pathologist to practice audiology or speech-language pathology, under a privilege to practice, in each  
20 member state.

21 (2) A state shall implement or utilize procedures for considering the criminal history records of  
22 applicants for initial privilege to practice. These procedures must include the submission of fingerprints or other  
23 biometric-based information by applicants for the purpose of obtaining an applicant's criminal history record  
24 information from the federal bureau of investigation and the agency responsible for retaining that state's  
25 criminal records.

26 (a) A member state shall fully implement a criminal background check requirement, within a time  
27 frame established by rule, by receiving the results of the federal bureau of investigation record search on

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 criminal background checks and use the results in making licensure decisions.

2 (b) Communication between a member state, the commission, and among member states  
3 regarding the verification of eligibility for licensure through the compact may not include any information  
4 received from the federal bureau of investigation relating to a federal criminal records check performed by a  
5 member state under Public Law 92-544.

6 (3) Upon application for a privilege to practice, the licensing board in the issuing remote state shall  
7 ascertain, through the data system, whether the applicant has ever held, or is the holder of, a license issued by  
8 any other state, whether there are any encumbrances on any license or privilege to practice held by the  
9 applicant, and whether any adverse action has been taken against any license or privilege to practice held by  
10 the applicant.

11 (4) Each member state shall require an applicant to obtain or retain a license in the home state  
12 and meet the home state's qualifications for licensure or renewal of licensure, as well as all other applicable  
13 state laws.

14 (5) For an audiologist:

15 (a) must meet one of the following educational requirements:

16 (i) on or before December 31, 2007, has graduated with a master's degree or doctorate in  
17 audiology, or equivalent degree regardless of degree name, from a program that is accredited by an accrediting  
18 agency recognized by the council for higher education accreditation, or its successor, or by the United States  
19 department of education and operated by a college or university accredited by a regional or national accrediting  
20 organization recognized by the board; or

21 (ii) on or after January 1, 2008, has graduated with a doctoral degree in audiology, or equivalent  
22 degree, regardless of degree name, from a program that is accredited by an accrediting agency recognized by  
23 the council for higher education accreditation, or its successor, or by the United States department of education  
24 and operated by a college or university accredited by a regional or national accrediting organization recognized  
25 by the board; or

26 (iii) has graduated from an audiology program that is housed in an institution of higher education  
27 outside of the United States:

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

- 1 (A) for which the program and institution have been approved by the authorized accrediting body in  
2 the applicable country; and
- 3 (B) the degree program has been verified by an independent credentials review agency to be  
4 comparable to a state licensing board-approved program;
- 5 (b) has completed a supervised clinical practicum experience from an accredited educational  
6 institution or its cooperating programs as required by the commission;
- 7 (c) has successfully passed a national examination approved by the commission;
- 8 (d) holds an active, unencumbered license;
- 9 (e) has not been convicted or found guilty, and has not entered into an agreed disposition, of a  
10 felony related to the practice of audiology, under applicable state or federal criminal law;
- 11 (f) has a valid United States social security or national practitioner identification number.
- 12 (6) For a speech-language pathologist:
- 13 (a) must meet one of the following educational requirements:
- 14 (i) has graduated with a master's degree from a speech-language pathology program that is  
15 accredited by an organization recognized by the United States department of education and operated by a  
16 college or university accredited by a regional or national accrediting organization recognized by the board; or
- 17 (ii) has graduated from a speech-language pathology program that is housed in an institution of  
18 higher education outside of the United States:
- 19 (A) for which the program and institution have been approved by the authorized accrediting body in  
20 the applicable country; and
- 21 (B) the degree program has been verified by an independent credentials review agency to be  
22 comparable to a state licensing board-approved program;
- 23 (b) has completed a supervised clinical practicum experience from an educational institution or its  
24 cooperating programs as required by the commission;
- 25 (c) has completed a supervised postgraduate professional experience as required by the  
26 commission;
- 27 (d) has successfully passed a national examination approved by the commission;

- 1 (e) holds an active, unencumbered license;
- 2 (f) has not been convicted or found guilty, and has not entered into an agreed disposition, of a
- 3 felony related to the practice of speech-language pathology, under applicable state or federal criminal law;
- 4 (g) has a valid United States social security or national practitioner identification number.
- 5 (7) The privilege to practice is derived from the home state license.
- 6 (8) An audiologist or speech-language pathologist practicing in a member state shall comply with
- 7 the state practice laws of the state in which the client is located at the time service is provided. The practice of
- 8 audiology and speech-language pathology must include all audiology and speech-language pathology practice
- 9 as defined by the state practice laws of the member state in which the client is located. The practice of
- 10 audiology and speech-language pathology in a member state under a privilege to practice must subject an
- 11 audiologist or speech language pathologist to the jurisdiction of the licensing board, the courts, and the laws of
- 12 the member state in which the client is located at the time service is provided.
- 13 (9) Individuals not residing in a member state must continue to be able to apply for a member
- 14 state's single-state license as provided under the laws of each member state. However, the single-state license
- 15 granted to these individuals may not be recognized as granting the privilege to practice audiology or speech-
- 16 language pathology in any other member state. Nothing in this compact may affect the requirements
- 17 established by a member state for the issuance of a single-state license.
- 18 (10) Member states may charge a fee for granting a compact privilege.
- 19 (11) Member states shall comply with the bylaws and rules and regulations of the commission.

SECTION 4

COMPACT PRIVILEGE

- 22 (1) To exercise the compact privilege under the terms and provisions of the compact, the
- 23 audiologist or speech-language pathologist:
- 24 (a) must hold an active license in the home state;
- 25 (b) must have no encumbrance on any state license;
- 26 (c) must be eligible for a compact privilege in any member state in accordance with section 3;
- 27 (d) may not have had any adverse action against any license or compact privilege within the

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 previous 2 years from the date of application;

2 (e) shall notify the commission that the licensee is seeking the compact privilege within a remote  
3 state(s);

4 (f) shall pay any applicable fees, including any state fee, for the compact privilege;

5 (g) shall report to the commission adverse action taken by any nonmember state within 30 days  
6 from the date the adverse action is taken.

7 (2) For the purposes of the compact privilege, an audiologist or speech-language pathologist may  
8 only hold one home state license at a time.

9 (3) Except as provided in section 6, if an audiologist or speech-language pathologist changes  
10 primary state of residence by moving between two member states, the audiologist or speech-language  
11 pathologist shall apply for licensure in the new home state, and the license issued by the prior home state must  
12 be deactivated in accordance with applicable rules adopted by the commission.

13 (4) The audiologist or speech-language pathologist may apply for licensure in advance of a  
14 change in primary state of residence.

15 (5) A license may not be issued by the new home state until the audiologist or speech-language  
16 pathologist provides satisfactory evidence of a change in primary state of residence to the new home state and  
17 satisfies all applicable requirements to obtain a license from the new home state.

18 (6) If an audiologist or speech-language pathologist changes primary state of residence by moving  
19 from a member state to a nonmember state, the license issued by the prior home state must convert to a  
20 single-state license, valid only in the former home state.

21 (7) The compact privilege is valid until the expiration date of the home state license. The licensee  
22 shall comply with the requirements of section 4(1) to maintain the compact privilege in the remote state.

23 (8) A licensee providing audiology or speech-language pathology services in a remote state under  
24 the compact privilege shall function within the laws and regulations of the remote state.

25 (9) A licensee providing audiology or speech-language pathology services in a remote state is  
26 subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's  
27 laws, remove a licensee's compact privilege in the remote state for a specific period of time, impose fines,

1 and/or take any other necessary actions to protect the health and safety of its citizens.

2 (10) If a home state license is encumbered, the licensee shall lose the compact privilege in any  
3 remote state until the following occur:

4 (a) the home state license is no longer encumbered; and

5 (b) 2 years have elapsed from the date of the adverse action.

6 (11) Once an encumbered license in the home state is restored to good standing, the licensee must  
7 meet the requirements of section 4(1) to obtain a compact privilege in any remote state.

8 (12) Once the requirements of section 4(10) have been met, the licensee must meet the  
9 requirements in section 4(1) to obtain a compact privilege in a remote state.

10 SECTION 5

11 COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

12 Member states shall recognize the right of an audiologist or speech-language pathologist, licensed by a  
13 home state in accordance with section 3 and under rules promulgated by the commission, to practice audiology  
14 or speech-language pathology in any member state via telehealth under a privilege to practice as provided in  
15 the compact and rules promulgated by the commission.

16 SECTION 6

17 ACTIVE-DUTY MILITARY PERSONNEL OR THEIR SPOUSES

18 Active-duty military personnel, or their spouses, shall designate a home state where the individual has  
19 a current license in good standing. The individual may retain the home state designation during the period the  
20 service member is on active duty. Subsequent to designating a home state, the individual may only change  
21 their home state through application for licensure in the new state.

22 SECTION 7

23 ADVERSE ACTIONS

24 (1) In addition to the other powers conferred by state law, a remote state must have the authority,  
25 in accordance with existing state due process law, to:

26 (a) take adverse action against an audiologist's or speech-language pathologist's privilege to  
27 practice within that member state;

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 (b) issue subpoenas for both hearings and investigations that require the attendance and  
2 testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board in a  
3 member state for the attendance and testimony of witnesses or the production of evidence from another  
4 member state must be enforced in the latter state by any court of competent jurisdiction, according to the  
5 practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The  
6 issuing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service  
7 statutes of the state in which the witnesses or evidence are located.

8 (c) Only the home state may have the power to take adverse action against an audiologist's or  
9 speech-language pathologist's license issued by the home state.

10 (2) For purposes of taking adverse action, the home state shall give the same priority and effect to  
11 reported conduct received from a member state as it would if the conduct had occurred within the home state.  
12 In so doing, the home state shall apply its own state laws to determine the appropriate action.

13 (3) The home state shall complete any pending investigations of an audiologist or speech-  
14 language pathologist who changes primary state of residence during the course of the investigations. The home  
15 state must also have the authority to take appropriate action(s) and shall promptly report the conclusions of the  
16 investigations to the administrator of the data system. The administrator of the coordinated licensure  
17 information system shall promptly notify the new home state of any adverse actions.

18 (4) If otherwise permitted by state law, the member state may recover from the affected audiologist  
19 or speech-language pathologist the costs of the investigations and disposition of cases resulting from any  
20 adverse action taken against that audiologist or speech language pathologist.

21 (5) The member state may take adverse action based on the factual findings of the remote state,  
22 provided that the member state follows the member state's own procedures for taking the adverse action.

23 (6) Joint investigations.

24 (a) In addition to the authority granted to a member state by its respective audiology or speech-  
25 language pathology practice act or other applicable state law, any member state may participate with other  
26 member states in joint investigations of licensees.

27 (b) Member states shall share any investigative, litigation, or compliance materials in furtherance

1 of any joint or individual investigation initiated under the compact.

2 (7) If adverse action is taken by the home state against an audiologist's or speech-language  
3 pathologist's license, the audiologist's or speech-language pathologist's privilege to practice in all other member  
4 states must be deactivated until all encumbrances have been removed from the state license. All home state  
5 disciplinary orders that impose adverse action against an audiologist's or speech-language pathologist's license  
6 must include a statement that the audiologist's or speech-language pathologist's privilege to practice is  
7 deactivated in all member states during the pendency of the order.

8 (8) If a member state takes adverse action, it shall promptly notify the administrator of the data  
9 system. The administrator of the data system shall promptly notify the home state of any adverse actions by  
10 remote states.

11 (9) Nothing in this compact may override a member state's decision that participation in an  
12 alternative program may be used in lieu of adverse action.

13 SECTION 8

14 ESTABLISHMENT OF THE AUDIOLOGY AND SPEECH-LANGUAGE

15 PATHOLOGY COMPACT COMMISSION

16 (1) The compact member states hereby create and establish a joint public agency known as the  
17 audiology and speech-language pathology compact commission.

18 (a) The commission is an instrumentality of the compact states.

19 (b) Venue is proper and judicial proceedings by or against the commission must be brought solely  
20 and exclusively in a court of competent jurisdiction where the principal office of the commission is located. The  
21 commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in  
22 alternative dispute resolution proceedings.

23 (c) Nothing in this compact may be construed to be a waiver of sovereign immunity.

24 (2) Membership, voting, and meetings.

25 (a) Each member state must have two delegates selected by that member state's licensing board.  
26 The delegates must be current members of the licensing board. One must be an audiologist and one must be a  
27 speech-language pathologist.

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 (b) An additional five delegates, who are either a public member or board administrator from a  
2 state licensing board, must be chosen by the executive committee from a pool of nominees provided by the  
3 commission at large.

4 (c) Any delegate may be removed or suspended from office as provided by the law of the state  
5 from which the delegate is appointed.

6 (d) The member state board shall fill any vacancy occurring on the commission within 90 days.

7 (e) Each delegate must be entitled to one vote with regard to the promulgation of rules and  
8 creation of bylaws and must otherwise have an opportunity to participate in the business and affairs of the  
9 commission.

10 (f) A delegate shall vote in person or by other means as provided in the bylaws. The bylaws may  
11 provide for delegates' participation in meetings by telephone or other means of communication.

12 (g) The commission shall meet at least once during each calendar year. Additional meetings shall  
13 be held as set forth in the bylaws.

14 (3) The commission must have the following powers and duties:

15 (a) establish the fiscal year of the commission;

16 (b) establish bylaws;

17 (c) establish a code of ethics;

18 (d) maintain its financial records in accordance with the bylaws;

19 (e) meet and take actions as are consistent with the provisions of this compact and the bylaws;

20 (f) promulgate uniform rules to facilitate and coordinate implementation and administration of this  
21 compact. The rules must have the force and effect of law and must be binding in all member states.

22 (g) bring and prosecute legal proceedings or actions in the name of the commission, provided that  
23 the standing of any state audiology or speech-language pathology licensing board to sue or be sued under  
24 applicable law is not affected;

25 (h) purchase and maintain insurance and bonds;

26 (i) borrow, accept, or contract for services of personnel, including but not limited to employees of a  
27 member state;

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

- 1           (j)       hire employees, elect or appoint officers, fix compensation, define duties, grant individuals  
2 appropriate authority to carry out the purposes of the compact, and to establish the commission's personnel  
3 policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel  
4 matters;
- 5           (k)       accept any and all appropriate donations and grants of money, equipment, supplies, materials,  
6 and services, and to receive, utilize, and dispose of the same, provided that at all times the commission shall  
7 avoid any appearance of impropriety and/or conflict of interest;
- 8           (l)       lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve, or  
9 use, any property, real, personal, or mixed, provided that at all times the commission shall avoid any  
10 appearance of impropriety;
- 11          (m)       sell convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any  
12 property real, personal, or mixed;
- 13          (n)       establish a budget and make expenditures;
- 14          (o)       borrow money;
- 15          (p)       appoint committees, including standing committees composed of members, and other  
16 interested persons as may be designated in the compact and the bylaws;
- 17          (q)       provide and receive information from, and cooperate with, law enforcement agencies;
- 18          (r)       establish and elect an executive committee; and
- 19          (s)       perform other functions as may be necessary or appropriate to achieve the purposes of this  
20 compact consistent with the state regulation of audiology and speech-language pathology licensure and  
21 practice.
- 22          (4)       The executive committee.
- 23               The executive committee must have the power to act on behalf of the commission according to the  
24 terms of this compact:
- 25          (a)       The executive committee must be composed of 10 members:
- 26               (i)       seven voting members who are elected by the commission from the current membership of the  
27 commission;

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

- 1           (ii)       two ex-officio members, consisting of one nonvoting member from a recognized national  
2 audiology professional association and one nonvoting member from a recognized national speech-language  
3 pathology association; and
- 4           (iii)       one ex-officio, nonvoting member from the recognized membership organization of the  
5 audiology and speech-language pathology licensing boards.
- 6           (5)       The ex-officio members must be selected by their respective organizations.
- 7           (a)       The commission may remove any member of the executive committee as provided in bylaws.
- 8           (b)       The executive committee shall meet at least annually.
- 9           (c)       The executive committee must have the following duties and responsibilities:
- 10          (i)       recommend to the entire commission changes to the rules or bylaws, changes to this compact  
11 legislation, fees paid by compact member states such as annual dues, and any commission compact fee  
12 charged to licensees for the compact privilege;
- 13          (ii)       ensure compact administration services are appropriately provided, contractual or otherwise;
- 14          (iii)       prepare and recommend the budget;
- 15          (iv)       maintain financial records on behalf of the commission;
- 16          (v)       monitor compact compliance of member states and provide compliance reports to the  
17 commission;
- 18          (vi)       establish additional committees as necessary; and
- 19          (vii)       other duties as provided in rules or bylaws.
- 20          (d)       Meetings of the commission.
- 21               All meetings must be open to the public, and public notice of meetings must be given in the same  
22 manner as required under the rulemaking provision in section 10.
- 23          (e)       The commission or the executive committee or other committees of the commission may  
24 convene in a closed, nonpublic meeting if the commission or executive committee or other committees of the  
25 commission must discuss:
- 26          (i)       noncompliance of a member state with its obligations under the compact;
- 27          (ii)       the employment, compensation, discipline, or other matters, practices, or procedures related to

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

- 1 specific employees or other matters related to the commission's internal personnel practices and procedures;
- 2 (iii) current, threatened, or reasonably anticipated litigation;
- 3 (iv) negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate;
- 4 (v) accusing any person of a crime or formally censuring any person;
- 5 (vi) disclosure of trade secrets or commercial or financial information that is privileged or
- 6 confidential;
- 7 (vii) disclosure of information of a personal nature where disclosure would constitute a clearly
- 8 unwarranted invasion of personal privacy;
- 9 (viii) disclosure of investigative records compiled for law enforcement purposes;
- 10 (ix) disclosure of information related to any investigative reports prepared by or on behalf of or for
- 11 use of the commission or other committee charged with responsibility of investigation or determination of
- 12 compliance issues pursuant to the compact; or
- 13 (x) matters specifically exempted from disclosure by federal or member state statute.
- 14 (f) If a meeting, or portion of a meeting, is closed pursuant to this provision, the commission's
- 15 legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant
- 16 exempting provision.
- 17 (g) The commission shall keep minutes that fully and clearly describe all matters discussed in a
- 18 meeting and shall provide a full and accurate summary of actions taken, and the reasons therefore, including a
- 19 description of the views expressed. All documents considered in connection with an action must be identified in
- 20 minutes. All minutes and documents of a closed meeting must remain under seal, subject to release by a
- 21 majority vote of the commission or order of a court of competent jurisdiction.
- 22 (h) Financing of the commission.
- 23 (i) The commission shall pay, or provide for the payment of, the reasonable expenses of its
- 24 establishment, organization, and ongoing activities.
- 25 (ii) The commission may accept any and all appropriate revenue sources, donations, and grants of
- 26 money, equipment, supplies, materials, and services.
- 27 (iii) The commission may levy on and collect an annual assessment from each member state or

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 impose fees on other parties to cover the cost of the operations and activities of the commission and its staff,  
2 which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue  
3 is not provided by other sources. The aggregate annual assessment amount must be allocated based upon a  
4 formula to be determined by the commission, which shall promulgate a rule binding upon all member states.

5 (i) The commission may not incur obligations of any kind prior to securing the funds adequate to  
6 meet the same; nor may the commission pledge the credit of any of the member states, except by and with the  
7 authority of the member state.

8 (j) The commission shall keep accurate accounts of all receipts and disbursements. The receipts  
9 and disbursements of the commission must be subject to the audit and accounting procedures established  
10 under its bylaws. However, all receipts and disbursements of funds handled by the commission must be audited  
11 yearly by a certified or licensed public accountant, and the report of the audit must be included in and become  
12 part of the annual report of the commission.

13 (6) Defense and indemnification.

14 (a) The commission shall defend any member, officer, executive director, employee, or  
15 representative of the commission in any civil action seeking to impose liability arising out of any actual or  
16 alleged act, error, or omission that occurred within the scope of commission employment, duties, or  
17 responsibilities, or that the person against whom the claim is made had a reasonable basis for believing  
18 occurred within the scope of commission employment, duties, or responsibilities, provided that nothing herein  
19 may be construed to prohibit that person from retaining his or her own counsel, and provided further, that the  
20 actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton  
21 misconduct.

22 (b) The commission shall indemnify and hold harmless any member, officer, executive director,  
23 employee, or representative of the commission for the amount of any settlement or judgment obtained against  
24 that person arising out of any actual or alleged act, error, or omission that occurred within the scope of  
25 commission employment, duties, or responsibilities, or that the person had a reasonable basis for believing  
26 occurred within the scope of commission employment, duties, or responsibilities, provided that the actual or  
27 alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.

1 SECTION 9

2 DATA SYSTEM

3 (1) The commission shall provide for the development, maintenance, and utilization of a  
4 coordinated database and reporting system containing licensure, adverse action, and investigative information  
5 on all licensed individuals in member states.

6 (2) Notwithstanding any other provision of state law to the contrary, a member state shall submit a  
7 uniform data set to the data system on all individuals to whom this compact is applicable as required by the  
8 rules of the commission, including:

- 9 (a) identifying information;
- 10 (b) licensure data;
- 11 (c) adverse actions against a license or compact privilege;
- 12 (d) nonconfidential information related to alternative program participation;
- 13 (e) any denial of application for licensure, and the reason(s) for denial; and
- 14 (f) other information that may facilitate the administration of this compact, as determined by the  
15 rules of the commission.

16 (3) Investigative information pertaining to a licensee in any member state may only be available to  
17 other member states.

18 (4) The commission shall promptly notify all member states of any adverse action taken against a  
19 licensee or an individual applying for a license. Adverse action information pertaining to a licensee in any  
20 member state must be available to any other member state.

21 (5) Member states contributing information to the data system may designate information that may  
22 not be shared with the public without the express permission of the contributing state.

23 (6) Any information submitted to the data system that is subsequently required to be expunged by  
24 the laws of the member state contributing the information must be removed from the data system.

25 SECTION 10

26 RULEMAKING

27 (1) The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 section and the rules adopted thereunder. Rules and amendments must become binding as of the date  
2 specified in each rule or amendment.

3 (2) If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or  
4 resolution in the same manner used to adopt the compact within 4 years of the date of adoption of the rule, the  
5 rule must have no further force and effect in any member state.

6 (3) Rules or amendments to the rules must be adopted at a regular or special meeting of the  
7 commission.

8 (4) Prior to promulgation and adoption of a final rule or rules by the commission, and at least 30  
9 days in advance of the meeting at which the rule is to be considered and voted upon, the commission shall file  
10 a notice of proposed rulemaking:

11 (a) on the website of the commission or other publicly accessible platform; and

12 (b) on the website of each member state audiology or speech-language pathology licensing board  
13 or other publicly accessible platform or the publication in which each state would otherwise publish proposed  
14 rules.

15 (5) The notice of proposed rulemaking must include:

16 (a) the proposed time, date, and location of the meeting in which the rule is to be considered and  
17 voted upon;

18 (b) the text of the proposed rule or amendment and the reason for the proposed rule;

19 (c) a request for comments on the proposed rule from any interested person; and

20 (d) the manner in which interested persons may submit notice to the commission of their intention  
21 to attend the public hearing and any written comments.

22 (6) Prior to the adoption of a proposed rule, the commission shall allow persons to submit written  
23 data, facts, opinions, and arguments, which must be made available to the public.

24 (7) The commission shall grant an opportunity for a public hearing before it adopts a rule or  
25 amendment if a hearing is requested by:

26 (a) at least 25 persons;

27 (b) a state or federal governmental subdivision or agency; or

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 (c) an association having at least 25 members.

2 (8) If a hearing is held on the proposed rule or amendment, the commission shall publish the  
3 place, time, and date of the scheduled public hearing. If the hearing is held via electronic means, the  
4 commission shall publish the mechanism for access to the electronic hearing.

5 (a) All persons wishing to be heard at the hearing shall notify the executive director of the  
6 commission or other designated member in writing of their desire to appear and testify at the hearing not less  
7 than 5 business days before the scheduled date of the hearing.

8 (b) Hearings must be conducted in a manner providing each person who wishes to comment a fair  
9 and reasonable opportunity to comment orally or in writing.

10 (c) All hearings must be recorded. A copy of the recording must be made available on request.

11 (d) Nothing in this section may be construed as requiring a separate hearing on each rule. Rules  
12 may be grouped for the convenience of the commission at hearings required by this section.

13 (9) Following the scheduled hearing date, or by the close of business on the scheduled hearing  
14 date if the hearing was not held, the commission shall consider all written and oral comments received.

15 (10) If no written notice of intent to attend the public hearing by interested parties is received, the  
16 commission may proceed with promulgation of the proposed rule without a public meeting.

17 (11) The commission shall, by majority vote of all members, take final action on the proposed rule  
18 and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the  
19 rule.

20 (12) Upon determination that an emergency exists, the commission may consider and adopt an  
21 emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking  
22 procedures provided in the compact and in this section are retroactively applied to the rule as soon as  
23 reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this  
24 provision, an emergency rule is one that must be adopted immediately in order to:

25 (a) meet an imminent threat to public health, safety, or welfare;

26 (b) prevent a loss of commission or member state funds; or

27 (c) meet a deadline for the promulgation of an administrative rule that is established by federal law

1 or rule.

2 (13) The commission or an authorized committee of the commission may direct revisions to a  
3 previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in  
4 consistency, or grammatical errors. Public notice of any revisions must be posted on the website of the  
5 commission. The revision must be subject to challenge by any person for a period of 30 days after posting. The  
6 revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge  
7 must be made in writing and delivered to the chair of the commission prior to the end of the notice period. If no  
8 challenge is made, the revision must take effect without further action. If the revision is challenged, the revision  
9 may not take effect without the approval of the commission.

10 SECTION 11

11 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

12 (1) Dispute resolution.

13 (a) Upon request by a member state, the commission shall attempt to resolve disputes related to  
14 the compact that arise among member states and between member and nonmember states.

15 (b) The commission shall promulgate a rule providing for both mediation and binding dispute  
16 resolution for disputes as appropriate.

17 (2) Enforcement.

18 (a) The commission, in the reasonable exercise of its discretion, shall enforce the provisions and  
19 rules of this compact.

20 (b) By majority vote, the commission may initiate legal action in the United States District Court for  
21 the District of Columbia or the federal district where the commission has its principal offices against a member  
22 state in default to enforce compliance with the provisions of the compact and its promulgated rules and bylaws.  
23 The relief sought may include both injunctive relief and damages. In the event judicial enforcement is  
24 necessary, the prevailing member must be awarded all costs of litigation, including reasonable attorney's fees.

25 (c) The remedies herein may not be the exclusive remedies of the commission. The commission  
26 may pursue any other remedies available under federal or state law.

27 SECTION 12

1 DATE OF IMPLEMENTATION OF THE INTERSTATE COMMISSION  
2 FOR AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY PRACTICE AND  
3 ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT

4 (1) The compact must come into effect on the date on which the compact statute is enacted into  
5 law in the 10th member state. The provisions, which become effective at that time, must be limited to the  
6 powers granted to the commission relating to assembly and the promulgation of rules. Thereafter, the  
7 commission shall meet and exercise rulemaking powers necessary to the implementation and administration of  
8 the compact.

9 (2) Any state that joins the compact subsequent to the commission's initial adoption of the rules  
10 must be subject to the rules as they exist on the date on which the compact becomes law in that state. Any rule  
11 that has been previously adopted by the commission must have full force and effect of law on the day the  
12 compact becomes law in that state.

13 (3) Any member state may withdraw from this compact by enacting a statute repealing the same.

14 (a) A member state's withdrawal may not take effect until 6 months after enactment of the  
15 repealing statute.

16 (b) Withdrawal may not affect the continuing requirement of the withdrawing state's audiology or  
17 speech-language pathology licensing board to comply with the investigative and adverse action reporting  
18 requirements of this act prior to the effective date of withdrawal.

19 (4) Nothing contained in this compact may be construed to invalidate or prevent any audiology or  
20 speech-language pathology licensure agreement or other cooperative arrangement between a member state  
21 and a nonmember state that does not conflict with the provisions of this compact.

22 (5) The compact may be amended by the member states. No amendment to this compact may  
23 become effective and binding upon any member state until it is enacted into the laws of all member states.

24 SECTION 13

25 CONSTRUCTION AND SEVERABILITY

26 This compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of  
27 this compact shall be severable and if any phrase, clause, sentence, or provision of this compact is declared to

1 be contrary to the constitution of any member state or of the United States or the applicability thereof to any  
2 government, agency, person, or circumstance is held invalid, the validity of the remainder of this compact and  
3 the applicability thereof to any government, agency, person, or circumstance shall not be affected thereby. If  
4 this compact shall be held contrary to the constitution of any member state, the compact shall remain in full  
5 force and effect as to the remaining member states and in full force and effect as to the member state affected  
6 as to all severable matters.

7 SECTION 14

8 BINDING EFFECT OF COMPACT AND OTHER LAWS

9 (1) Nothing herein prevents the enforcement of any other law of a member state that is not  
10 inconsistent with the compact.

11 (2) All laws in a member state in conflict with the compact are superseded to the extent of the  
12 conflict.

13 (3) All lawful actions of the commission, including all rules and bylaws promulgated by the  
14 commission, are binding upon the member states.

15 (4) All agreements between the commission and the member states are binding in accordance  
16 with their terms.

17 (5) In the event any provision of the compact exceeds the constitutional limits imposed on the  
18 legislature of any member state, the provision must be ineffective to the extent of the conflict with the  
19 constitutional provision in question in that member state.

20  
21 NEW SECTION. Section 2. Criminal background check. (1) As provided in 37-1-307, the board ~~is~~  
22 ~~authorized to~~shall require each applicant for licensure as a speech-language pathologist or audiologist to  
23 submit a full set of the applicant's fingerprints to the board ~~for the purpose of obtaining a state and federal~~  
24 ~~criminal history background check to facilitate a fingerprint-based criminal background check by the Montana~~  
25 ~~department of justice and the federal bureau of investigation. The board may not disseminate criminal history~~  
26 ~~record information resulting from the background check across state lines.~~

27 (2) The board may require licensees renewing their licenses to submit a full set of their fingerprints

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 to the board for the purpose of obtaining a criminal record background check by the Montana department of  
2 justice and the federal bureau of investigation.

3 ~~(2) — Each license applicant shall pay all fees charged in relation to obtaining the state and federal~~  
4 ~~criminal history background check.~~

5 ~~(3) — The board may require licensees renewing their licenses to submit a full set of fingerprints to~~  
6 ~~the board for the purpose of obtaining a state and federal criminal history background check.~~

7 ~~(4) — The Montana department of justice may share the fingerprint data gathered under this section~~  
8 ~~with the federal bureau of investigation.~~

9

10 **Section 3.** Section 37-15-202, MCA, is amended to read:

11 **"37-15-202. Powers and duties of board and department.** (1) The board shall:

12 (a) administer, coordinate, and enforce the provisions of this chapter;

13 (b) evaluate the qualifications of each applicant for a license as issued under this chapter and  
14 supervise the examination of applicants;

15 (c) conduct hearings and keep records and minutes as the board considers necessary to an  
16 orderly dispatch of business;

17 (d) adopt rules, including but not limited to those governing ethical standards of practice or  
18 standards for telehealth under this chapter;

19 (e) make recommendations to the governor and other state officials regarding new and revised  
20 programs and legislation related to speech-language pathology or audiology which could be beneficial to the  
21 citizens of the state of Montana;

22 (f) cause the prosecution and enjoinder of all persons violating this chapter, by the complaints of  
23 its secretary filed with the county attorney in the county where the violation took place, and incur necessary  
24 expenses for the prosecution;

25 (g) adopt a seal by which the board shall authenticate its proceedings; and

26 (h) extend compact privileges as described in [section 1].

27 (2) Copies of the proceedings, records, and acts of the board, signed by the presiding officer or

**Amendment - 1st Reading-white - Requested by: Edith (Edie) McClafferty - (S) Business, Labor, and Economic Affairs**

68th Legislature

Drafter: Erin Sullivan, 406-444-3594

SB0214.001.001

1 secretary of the board and stamped with the seal, are prima facie evidence of the validity of the documents.

2 (3) The board may make rules that are reasonable or necessary for the proper performance of its  
3 duties and for the regulation of proceedings before it.

4 (4) The department may employ persons it considers necessary to carry out the provisions of this  
5 chapter.

6 (5) The department shall prepare a report to the governor as required by law."  
7

8 **Section 4.** Section 37-15-314, MCA, is amended to read:

9 **"37-15-314. Telehealth -- authorization -- assistants.** (1) An audiologist, speech-language  
10 pathologist, speech-language pathology assistant, or audiology assistant who is licensed under and meets the  
11 requirements of this chapter may engage in telehealth in Montana without obtaining a separate or additional  
12 license from the board.

13 (2) Except as provided in 37-15-103, an audiologist, speech-language pathologist, speech-  
14 language pathology assistant, or audiology assistant who is not a resident of Montana and who is not licensed  
15 under this chapter may not provide services to patients in Montana through telehealth without first obtaining a  
16 license from the board in accordance with this part or pursuant to the Audiology and Speech-Language  
17 Pathology Interstate Compact provided for in [section 1].

18 (3) An audiology assistant or a speech-language pathology assistant may engage in telehealth or  
19 provide other services as directed by a speech-language pathologist or audiologist that otherwise comply with  
20 board rules for scope of practice by speech-language pathology assistants and audiology assistants."  
21

22 NEW SECTION. **Section 5. Codification instruction.** [Sections 1 and 2] are intended to be codified  
23 as an integral part of Title 37, chapter 15, and the provisions of Title 37, chapter 15, apply to [sections 1 and 2].  
24

- END -